

# VGP353 – Week 4

## ⇒ Agenda:

- Stencil-buffer refresher
- Theory of shadow volumes
- Generating shadow volume geometry



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25-April-2012

# *Types of Buffers*

- ▷ OpenGL has three types of buffers:
    - Color buffers ...pixel values
    - Depth buffers ...distance to objects
    - Stencil buffers ...?

# *Stencil Buffer*

- ⇒ Extra per-pixel buffer containing integer values
  - Usually from 0 to 255 (for an 8-bit stencil buffer)
- ⇒ Three basic operations:
  - Initialize it to a particular value (via `glClear()`)
  - Discard pixels based on the *Stencil Test*
  - Update it by drawing with a *Stencil Operation*
- ⇒ You cannot texture from it
  - Unlike both color and depth



# *Clearing the Stencil Buffer*

- ⇒ Just like clearing color or depth:
  - `glClearStencil` sets the clear value
  - Pass `GL_STENCIL_BUFFER_BIT` to `glClear`
- ⇒ If using depth *and* stencil, clear both together
  - Clearing both generally costs the same as clearing just one
  - Clearing one at a time can be expensive



# *Stencil Test*

- ⇒ Occurs after fragment shader, before depth test
- ⇒ Set up via `glStencilFuncSeparate()`
  - Reference value (integer – 0, 127, 255...)
  - Comparison function
    - `GL_NEVER`, `GL_LESS`, `GL_EQUAL`, `GL_LEQUAL`,  
`GL_GREATER`, `GL_NOTEQUAL`, `GL_GEQUAL`, `GL_ALWAYS`
    - Mask bits (~0 is effectively no mask)
- ⇒ Performs bit-wise operations:
  - $(\text{stencil} \& \text{mask}) \text{ } func \text{ } (\text{ref} \& \text{mask})$
- ⇒ Fragments that fail the stencil test are discarded



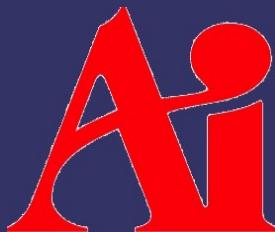
# *Stencil Test*

```
glStencilFuncSeparate(  
    GLenum face,  
    GLenum func,  
    GLint ref,  
    GLuint mask);
```



# *Stencil Test*

```
glStencilFuncSeparate (Polygon facing selector:  
    GLenum face,  
    GLenum func,  
    GLint ref,  
    GLuint mask);
```



# *Stencil Test*

```
glStencilFuncSeparate (Polygon facing selector:  
GLenum face,  
GLenum func,  
GLint ref,  
GLuint mask);
```

different operations for front  
and back facing polygons

Comparison function



# *Stencil Test*

```
glStencilFuncSeparate (Polygon facing selector:  
GLenum face,  
GLenum func,  
GLint ref,  
GLuint mask);
```

different operations for front  
and back facing polygons

Comparison function

Reference value used in  
comparison



# *Stencil Test*

```
glStencilFuncSeparate (Polygon facing selector:  
GLenum face,  
GLenum func,  
GLint ref,  
GLuint mask);
```

different operations for front  
and back facing polygons

Comparison function

Reference value used in  
comparison

Bit-wise mask used on  
values before comparison



# *Stencil Test*

```
glStencilFuncSeparate (Polygon facing selector:  
GLenum face, ← different operations for front  
GLenum func, ← and back facing polygons  
GLint ref, ← Comparison function  
GLuint mask); ← Reference value used in  
← comparison  
← Bit-wise mask used on  
values before comparison
```

- ⇒ Passing `GL_FRONT_AND_BACK` for `face` acts like GL 1.x `glStencilFunc` function
  - Radeon r300 (e.g., Radeon 9800) needs front and back `ref` and `mask` to be the same



# *Updating the Stencil Buffer*

- ⇒ Eight possible stencil operations:
  - GL\_KEEP – Keep existing value
  - GL\_ZERO – Set value to zero
  - GL\_REPLACE – Replace value with a reference value
  - GL\_INCR – Increment value, clamp to max
    - GL\_INCR\_WRAP – Increment value, wrap to zero
  - GL\_DECR – Decrement value, clamp to zero
    - GL\_DECR\_WRAP – Decrement value, wrap to max
  - GL\_INVERT – Bitwise inversion of value
- ⇒ Result is always masked with the stencil mask



# *Stencil Operation*

- ⇒ Stencil buffer values are modified per-fragment depending on the state of the fragment:
  - Fragment failed the stencil test
  - Fragment passed the stencil test but failed the depth test
  - Fragment passed the stencil test and passed the depth test
- ⇒ You can specify a different operation for each case



# *Stencil Buffer*

```
glStencilOpSeparate(  
    GLenum face,  
    GLenum sfail,  
    GLenum dfail,  
    GLenum dpass);
```



# *Stencil Buffer*

```
glStencilOpSeparate ( Polygon facing selector:  
    GLenum face,← different operations for front  
    GLenum sfail,  
    GLenum dfail,  
    GLenum dpass );
```



# *Stencil Buffer*

```
glStencilOpSeparate ( Polygon facing selector:  
    GLenum face,  
    GLenum sfail, → different operations for front  
    GLenum dfail, → and back facing polygons  
    GLenum dpass ); → Operation when stencil test  
                      fails
```



# *Stencil Buffer*

```
glStencilOpSeparate ( Polygon facing selector:  
    GLenum face,  
    GLenum sfail,  
    GLenum dfail,  
    GLenum dpass );  
Operation when stencil test  
fails  
Operation when stencil test  
passes but depth test fails
```



# *Stencil Buffer*

```
glStencilOpSeparate ( Polygon facing selector:  
    GLenum face,  
    GLenum sfail,  
    GLenum dfail,  
    GLenum dpass ) ;  
        different operations for front  
        and back facing polygons  
        Operation when stencil test  
        fails  
        Operation when stencil test  
        passes but depth test fails  
        Operation when stencil and  
        depth tests pass
```



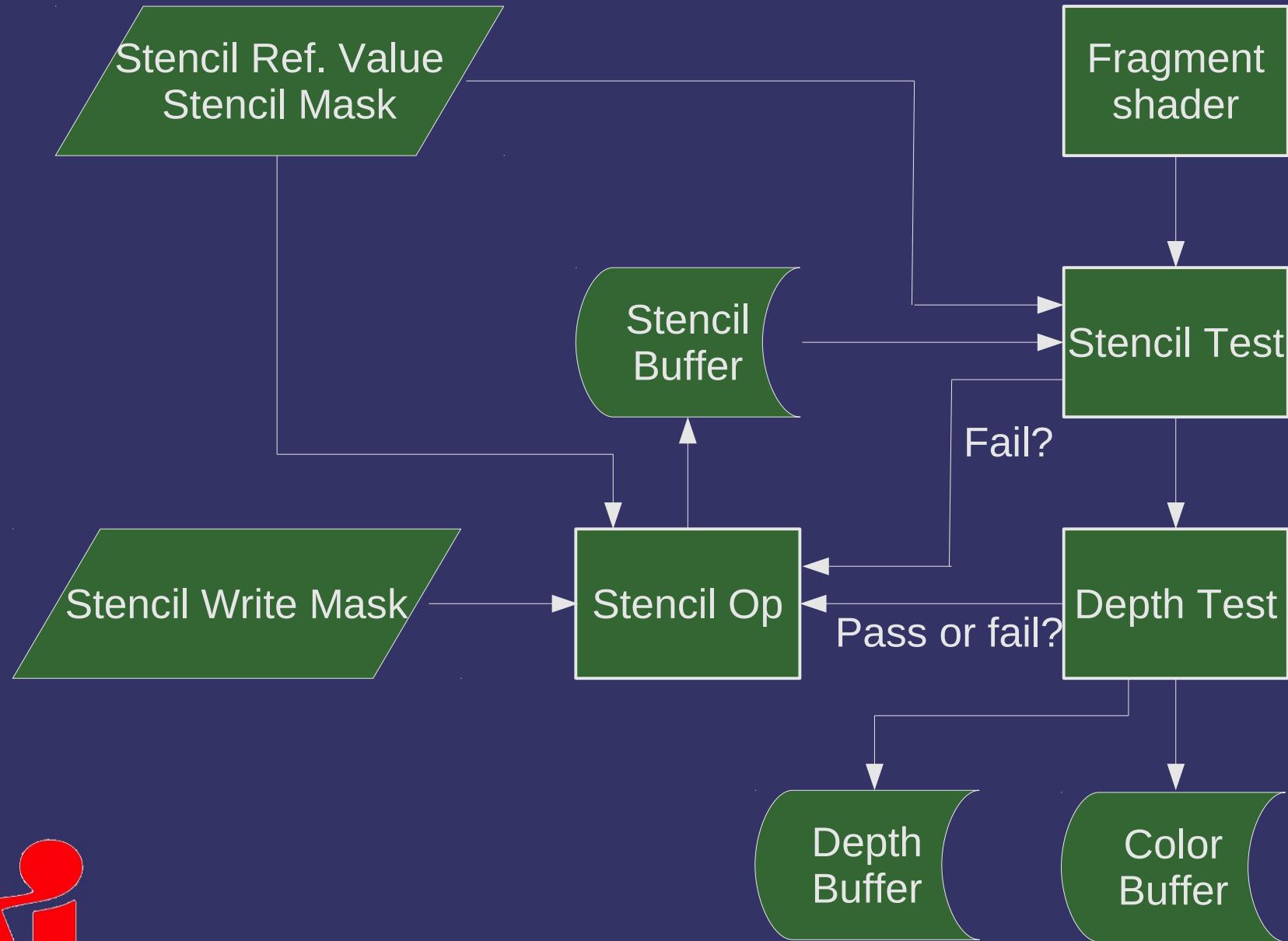
# *Stencil Buffer*

```
glStencilOpSeparate ( Polygon facing selector:  
    GLenum face,           different operations for front  
    GLenum sfail,          and back facing polygons  
    GLenum dfail,          Operation when stencil test  
    GLenum dpass );        fails  
                           Operation when stencil test  
                           passes but depth test fails  
                           Operation when stencil and  
                           depth tests pass
```

- ⇒ Passing `GL_FRONT_AND_BACK` for `face` acts like GL 1.x `glStencilOp` function



# *Stencil Buffer*



# *Stencil Buffer*

- ⇒ Writing of particular bits can be controlled with `glStencilMaskSeparate`
  - Passing `GL_FRONT_AND_BACK` for face parameter acts like GL 1.x `glStencilMask` function
  - Radeon r300 (e.g., Radeon 9800) needs front and back mask to be the same



# *Stencil Buffer – Example*

```
glClearStencil(0);
glClear(GL_STENCIL_BUFFER_BIT);
 glEnable(GL_STENCIL_TEST);

// Write 1 to stencil where polygon is drawn.
glStencilFuncSeparate(GL_FRONT_AND_BACK, GL_ALWAYS, 1, ~0);
glStencilOpSeparate(GL_FRONT_AND_BACK,
                     GL_KEEP, GL_KEEP, GL_REPLACE);
draw_some_polygon();

// Draw scene only where stencil buffer is 1.
glStencilFuncSeparate(GL_FRONT_AND_BACK, GL_EQUAL, 1, ~0);
glStencilOpSeparate(GL_FRONT_AND_BACK,
                     GL_KEEP, GL_KEEP, GL_KEEP);
draw_scene();
```



# *Stencil Buffer – Window System*

- ⇒ Stencil buffer is often stored interleaved with depth buffer
  - 8-bit stencil with 24-bit depth is most common
  - Others (e.g., 1-bit stencil w/15-bit depth) may exist
    - Very, *very* rare these days
- ⇒ Must request a stencil buffer with your window
  - With SDL, this means setting the stencil size attribute to the minimum number of stencil bits required

```
SDL_GL_SetAttribute (SDL_GL_STENCIL_SIZE, 4);
```



# *Stencil Buffer – FBOs*

- ⇒ Stencil buffers can also be used with framebuffer objects
  - Create with `glRenderbufferStorage` and an internal type of `GL_STENCIL_INDEX`
    - Sized types are also available
    - There are no stencil textures
  - Attach to `GL_STENCIL_ATTACHMENT`



# *Stencil Buffer – FBO Example*

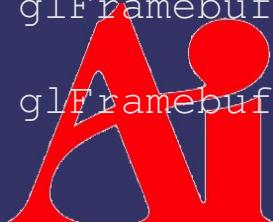
```
glGenFramebuffers(1, &fb);
glGenTextures(2, tex_names);
glGenRenderbuffers(1, &stencil_rb);

// Setup color texture (mipmap)
glBindTexture(GL_TEXTURE_2D, tex_names[0]);
glTexImage2D(GL_TEXTURE_2D, 0, GL_RGB8, 512, 512, 0, GL_RGBA, GL_INT, NULL);
glGenerateMipmap(GL_TEXTURE_2D);

// Setup depth texture (not mipmap)
glBindTexture(GL_TEXTURE_2D, tex_names[1]);
glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_MIN_FILTER, GL_NEAREST);
glTexImage2D(GL_TEXTURE_2D, 0, GL_DEPTH_COMPONENT24, 512, 512, 0,
            GL_DEPTH_COMPONENT, GL_UNSIGNED_INT, NULL);

// Setup stencil renderbuffer
glBindRenderbuffer(GL_RENDERBUFFER, stencil_rb);
glRenderbufferStorage(GL_RENDERBUFFER, GL_STENCIL_INDEX8, 512, 512);

glBindFramebuffer(GL_FRAMEBUFFER, fb);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_COLOR_ATTACHMENT0,
                      GL_TEXTURE_2D, tex_names[0], 0);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_DEPTH_ATTACHMENT,
                      GL_TEXTURE_2D, tex_names[1], 0);
glFramebufferRenderBuffer(GL_FRAMEBUFFER, GL_STENCIL_ATTACHMENT,
                        GL_RENDERBUFFER, stencil_rb);
```



# *Stencil Buffer – FBOs*

⇒ If depth *and* stencil are required:

- Create renderbuffer *or* texture with internal type of GL\_DEPTH\_STENCIL
  - The only sized type is GL\_DEPTH24\_STENCIL8
  - The type must be GL\_UNSIGNED\_INT\_24\_8
  - Treated as a depth texture for texturing
- Bind same object to *both* the depth & stencil attachments
- Requires OpenGL 3.0,  
GL\_ARB\_framebuffer\_objects, or  
GL\_EXT\_packed\_depth\_stencil



# *Stencil Buffer – FBO Example*

```
glGenFramebuffers(1, &fb);
glGenTextures(2, tex_names);

// Setup color texture (mipmap)
glBindTexture(GL_TEXTURE_2D, tex_names[0]);
glTexImage2D(GL_TEXTURE_2D, 0, GL_RGB8, 512, 512, 0, GL_RGBA, GL_INT, NULL);
glGenerateMipmap(GL_TEXTURE_2D);

// Setup depth_stencil texture (not mipmap)
glBindTexture(GL_TEXTURE_2D, tex_names[1]);
glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_MIN_FILTER, GL_NEAREST);
glTexImage2D(GL_TEXTURE_2D, 0, GL_DEPTH24_STENCIL8, 512, 512, 0,
            GL_DEPTH_STENCIL, GL_UNSIGNED_INT_24_8, NULL);

glBindFramebuffer(GL_FRAMEBUFFER_EXT, fb);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_COLOR_ATTACHMENT0,
                      GL_TEXTURE_2D, tex_names[0], 0);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_DEPTH_ATTACHMENT,
                      GL_TEXTURE_2D, tex_names[1], 0);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_STENCIL_ATTACHMENT,
                      GL_TEXTURE_2D, tex_names[1], 0);
```



# *Stencil Buffer – FBO Example*

```
glGenFramebuffers(1, &fb);
glGenTextures(2, tex_names);

// Setup color texture (mipmap)
glBindTexture(GL_TEXTURE_2D, tex_names[0]);
glTexImage2D(GL_TEXTURE_2D, 0, GL_RGB8, 512, 512, 0, GL_RGBA, GL_INT, NULL);
glGenerateMipmap(GL_TEXTURE_2D);

// Setup depth_stencil texture (not mipmap)
glBindTexture(GL_TEXTURE_2D, tex_names[1]);
glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_MIN_FILTER, GL_LINEAR);
glTexImage2D(GL_TEXTURE_2D, 0, GL_DEPTH24_STENCIL8, 512, 512, 0,
            GL_DEPTH_STENCIL, GL_UNSIGNED_INT_24_8, NULL);

glBindFramebuffer(GL_FRAMEBUFFER_EXT, fb);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_COLOR_ATTACHMENT0,
                      GL_TEXTURE_2D, tex_names[0], 0);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_DEPTH_ATTACHMENT,
                      GL_TEXTURE_2D, tex_names[1], 0);
glFramebufferTexture2D(GL_FRAMEBUFFER, GL_STENCIL_ATTACHMENT,
                      GL_TEXTURE_2D, tex_names[1], 0);
```



Same object attached both places

# *Shadow Volumes*



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# *Shadow Volumes*

- ⇒ Proposed by Frank Crow in 1977
  - Add new geometry to the scene that describes the volume occluded from the light source
  - Objects within the volume are in shadow, objects not within the volume are not
  - Sometimes called *Crow shadows* or *Crow shadow volumes*



# *Shadow Volumes*

- ⇒ Proposed by Frank Crow in 1977
  - Add new geometry to the scene that describes the volume occluded from the light source
  - Objects within the volume are in shadow, objects not within the volume are not
  - Sometimes called *Crow shadows* or *Crow shadow volumes*
- ⇒ In 1991, Tim Heidmann showed how the stencil buffer can be used to apply these volumes to a scene
  - This adaptation often called *stencil volume shadows*



# *Shadow Volumes*

- ⇒ Basic algorithm:
  1. Render scene using only ambient light
  2. For each light in the scene:
    - a. Using the depth information from the initial pass, construct a stencil with “holes” where there the light is not occluded.
      - Stencil will be 0 where the light is visible
    - b. Render scene again with normal lighting. Use the stencil mask to only draw where the light is not occluded.
      - Configure stencil test to draw only where stencil = 0
  - Two common methods to create this stencil: z-pass and z-fail



# *Shadow Volumes*

⇒ Problems?



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# *Shadow Volumes*

## ⇒ Problems?

- **Very** fill-rate intensive
- Calculating shadow volumes can be complex and time consuming
- Difficult to extend to soft-shadows



# *Shadow Volumes*

## ⇒ Problems?

- **Very** fill-rate intensive
- Calculating shadow volumes can be complex and time consuming
- Difficult to extend to soft-shadows

## ⇒ Advantages?



# *Shadow Volumes*

## ⇒ Problems?

- **Very** fill-rate intensive
- Calculating shadow volumes can be complex and time consuming
- Difficult to extend to soft-shadows

## ⇒ Advantages?

- Since everything is done in geometry-space instead of image-space, **no resampling aliasing artifacts!**
  - There is still aliasing, but not from resampling
- No shadow acne



# *Shadow Volumes – Z-Pass*

1. Disable depth and color writes
  2. Configure stencil operation:
    - `GL_INCR_WRAP` on depth pass front-faces
    - `GL_DECR_WRAP` on depth pass back-faces
    - `GL_KEEP` for all other cases
  3. Draw shadow volumes
- ⇒ Why use `GL_INCR_WRAP` and `GL_DECR_WRAP` instead of `GL_INCR` and `GL_DECR`?

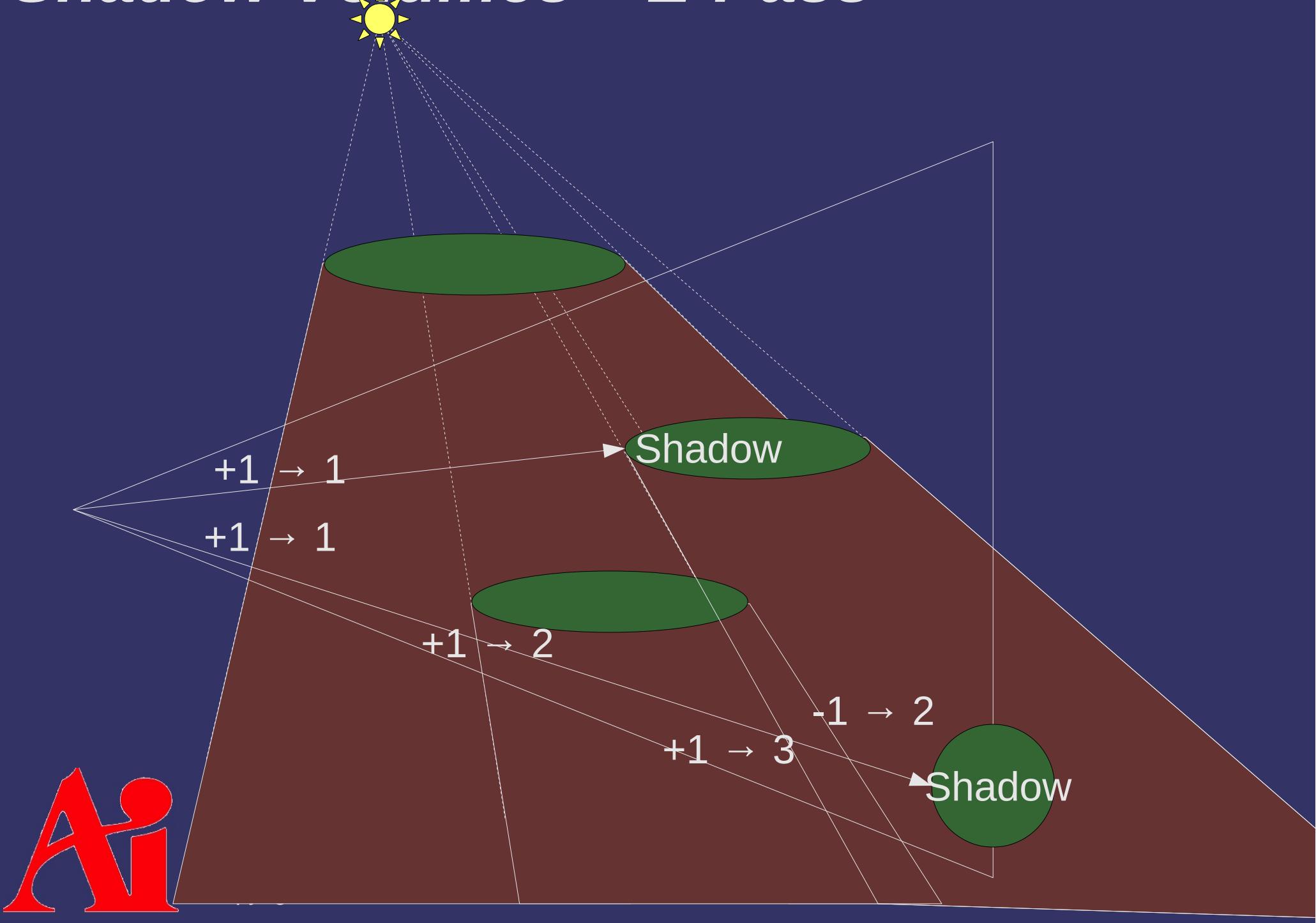


# *Shadow Volumes – Z-Pass*

1. Disable depth and color writes
2. Configure stencil operation:
  - `GL_INCR_WRAP` on depth pass front-faces
  - `GL_DECR_WRAP` on depth pass back-faces
  - `GL_KEEP` for all other cases
3. Draw shadow volumes
  - ⇒ Why use `GL_INCR_WRAP` and `GL_DECR_WRAP` instead of `GL_INCR` and `GL_DECR`?
    - Otherwise, if there are more than  $2^n$  increments before a decrement, the count will be wrong



# *Shadow Volumes – Z-Pass*



# *Shadow Volumes – Z-Pass*

- ⇒ Big problem with z-pass: What if the camera is *inside* a shadow volume?
  - Count is off by one for each volume the camera is in
  - Leads to areas being incorrectly labelled as illuminated or in-shadow



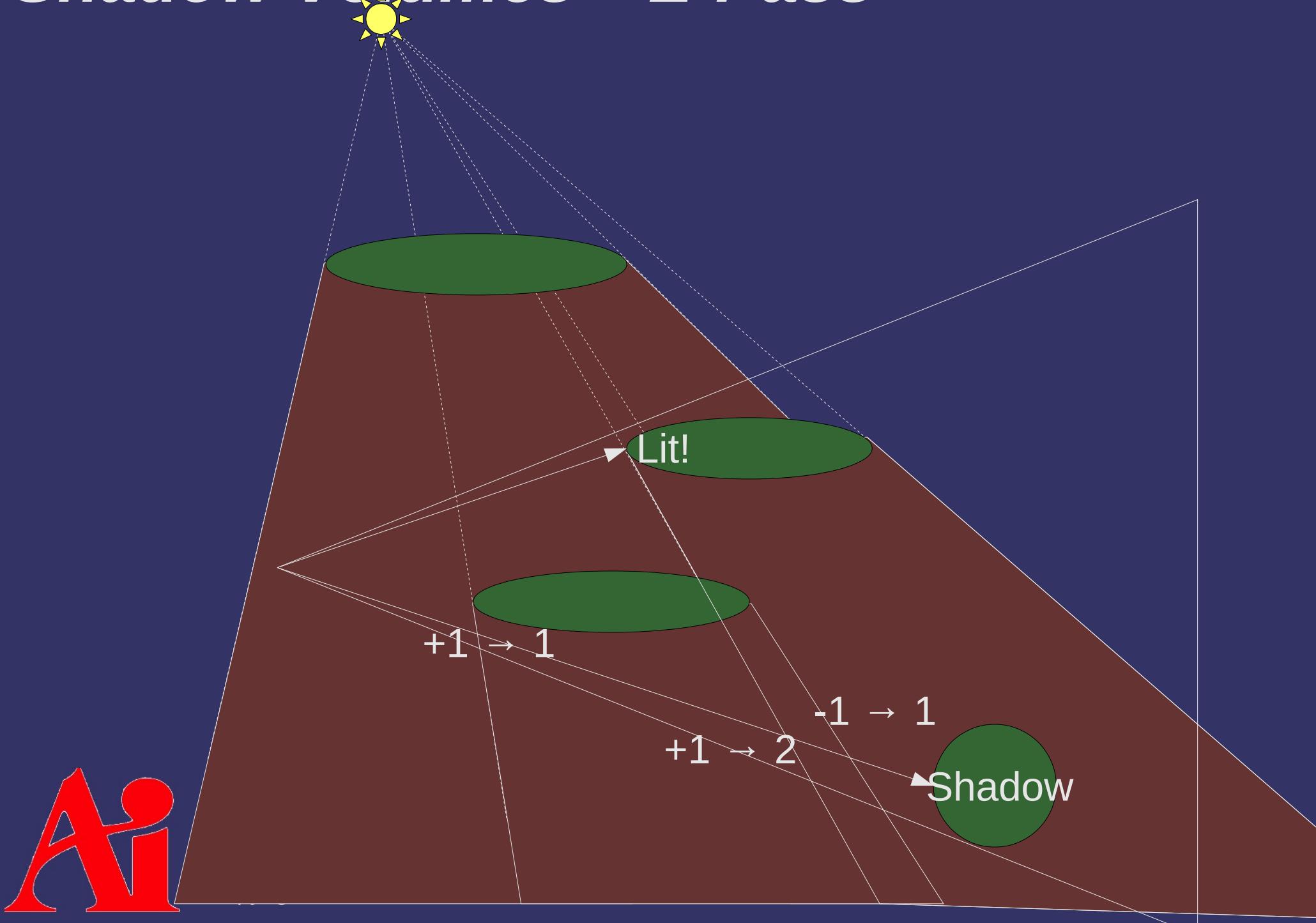
# *Shadow Volumes – Z-Pass*

## ⇒ Possible solutions:

- Clear stencil buffer to +1 for each volume the camera is inside
- Expensive to compute



# *Shadow Volumes – Z-Pass*



# *Shadow Volumes – Z-Pass*

- ⇒ Another big problem with z-pass:
  - What if part of a shadow volume is clipped by the near plane?



# *Shadow Volumes – Z-Pass*

⇒ Possible solution:

- Add a “cap” at the near plane for each volume the camera is inside
- Expensive to compute
- Robust implementation is difficult

⇒ Maybe switch to a different method: z-fail



# *Shadow Volumes – Z-Fail*

1. Disable depth and color writes
2. Configure stencil operation:
  - `GL_INCR_WRAP` on depth fail back-faces
  - `GL_DECR_WRAP` on depth fail front-faces
  - `GL_KEEP` for all other cases
3. Draw shadow volumes
  - ⇒ Method first *publicly* described by John Carmack while working on Doom 3
    - Sometimes called *Camack's reverse*



# *Shadow Volumes – Z-Fail*

1. Disable depth and color writes

2. Configure stencil operation:

- `GL_INCR_WRAP` on depth fail back-faces
- `GL_DECR_WRAP` on depth fail front-faces
- `GL_KEEP` for all other cases

3. Draw shadow volumes

Note: Depth test result and polygon facing are reversed compared to z-pass



# *Shadow Volumes – Z-Fail*

## ⇒ Advantages:

- Correct counting when eye is inside shadow volumes
- Doesn't miss intersections due to near-plane clipping
- Avoids expensive workarounds for z-pass

## ⇒ *Big problems with z-fail:*

- Most geometry fails the depth test, so Z-fail can use orders of magnitude *more* fill rate
- US Patent #6,384,822



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# *Computing Shadow Volumes*

- ⇒ Shadow volume geometry is made of 3 types of polygons:
  - Front faces of the object (w.r.t. the light)
  - Quads from each silhouette edge (w.r.t. the light) projected to “infinity”
  - Back faces of the object (w.r.t. the light) projected to “infinity”



# *Computing Shadow Volumes*

## ⇒ For the sides...

- Store two copies of each vertex: one with  $w = 0$  and one with  $w = 1$
- Find silhouette edges on CPU by testing normals of polygons that share an edge with the light vector
  - An edge is a silhouette if one normal points towards the light and the other points away
- For each silhouette edge, draw a quad using the four vertices on the edge
- Use a special vertex shader that projects the  $w = 1$  vertices away from the light to infinity



# *Extruding Sides a la Doom3*

- ⇒ Assembly vertex program from Doom3:

```
!!ARBvp1.0
TEMP R0;

# RO = OPOS - light, assumes light.w = 0
SUB    R0, vertex.position, program.env[4];

# RO -= OPOS.w * light
MAD    R0, R0.wwww, program.env[4], R0;

# normal transform
DP4    result.position.x, R0, state.matrix.mvp.row[0];
DP4    result.position.y, R0, state.matrix.mvp.row[1];
DP4    result.position.z, R0, state.matrix.mvp.row[2];
DP4    result.position.w, R0, state.matrix.mvp.row[3];
END
```



# *Extruding Sides a la Doom3*

⇒ Translated to GLSL...

```
uniform vec4 lightPos; // assume w = 0
uniform mat4 mvp;
in vec4 vertex;

void main()
{
    // If w = 0: extrudedVertex = vertex - lightPos
    // If w = 1: extrudedVertex = vertex
    vec4 lightVec = vertex - lightPos;
    vec4 extrudedVertex = (lightPos * vertex.w)
        + lightVec;

    gl_Position = mvp * extrudedVertex;
}
```



# *Extruding Sides a la Doom3*

- ⇒ Where's the projection to infinity?!?
  - The extruded vertices have  $w = 0$
  - In homogeneous coordinates, projection divides by  $w$
  - Dividing by 0 pushes the vertex to infinity



# *Extruding Sides a la Doom3*

## ⇒ Silhouette calculation on the CPU?

- Slow!
- Doesn't work well with nontrivial vertex transformations
  - Skinning, for example
  - Doesn't work well with hardware tessellation
  - Etc.

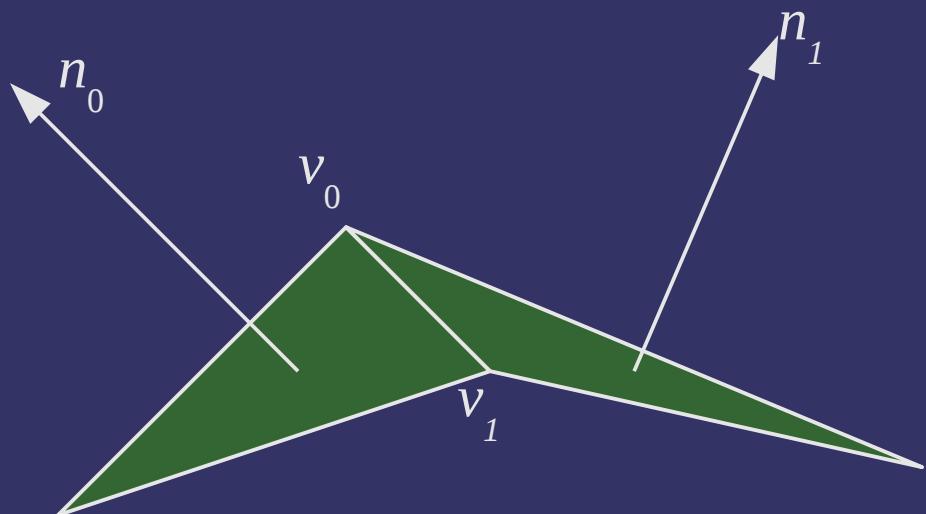


# *Extruding Sides on the GPU*

- ⇒ Do all of the extrusion in the vertex shader:
  - Store each vertex on an edge twice
    - One is paired with the normal of one triangle on the edge
    - One is paired with the normal of the other triangle
  - Draw a quad for **every** edge in the model
  - Extrude each vert if normal points away from the light
    - Silhouette edges will have one copy of the vertex extruded and the other not... creating a “real” quad
    - Non-silhouette edges will have both vertices extruded (or not) leaving a degenerate quad
- ⇒ Similar to the fin extrusion in fins-and-shells fur



# *Extruding Sides on the GPU*



Vertex data for shadow  
volume quad:

v0	n0
v1	n0
v1	n1
v0	n1



# *Shadow Volumes*

## ⇒ Advantages?

- Shadow volume geometry is independent of light position and object orientation
- Very little work done on the CPU per-frame
- Static shadow volume data does not need to be re-uploaded to GPU every frame

## ⇒ Disadvantages?

- For static lights and geometry a *lot* of redundant work is done every frame
- True shadow volumes only exist on the GPU, so we can't determine whether the camera is inside a shadow volume



# References

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[http://en.wikipedia.org/wiki/Shadow\\_volume](http://en.wikipedia.org/wiki/Shadow_volume)



# *Next week...*

## ⇒ Back to shadow maps

- Quantifying “resampling” aliasing issues
- Fixing it!

## ⇒ Quiz #2

- Shadow map filtering
  - Reasons “regular” linear filtering can't be used
  - PCF
  - PCSS
- Stencil volume shadows



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